



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

520 Rec'd PCT/PTO 20 DEC 1999

Applicant: Gregg S. Freishtat et al.

Serial No.: 09/308,436

Examiner:

Filing Date: November 17, 1997

Group Art Unit:

For: SYSTEM FOR INTEGRATED MANAGEMENT
OF MESSAGING AND COMMUNICATIONS

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C.
371 AND NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION
INCLUDING
PETITION UNDER 37 CFR 1.47(b)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 and Notification of a Defective Oath or Declaration, mailed on June 18, 1999, the following six sets of materials are enclosed:

01/07/2000 PVOLPE 00000020 09308436

01 FC:128 1850.00 OP
02 FC:154 130.00 OP

CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 20th day of December 1999 with sufficient postage as Express Mail No. EL228369136US in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

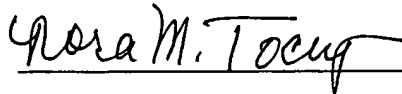
David B. Allen

U.S.S.N.: 09/308,436
Filed: November 17, 1997
For: SYSTEM FOR INTEGRATED MANAGEMENT OF MESSAGING AND COMMUNICATIONS

- 1) Copy of the Notification of Missing Requirements Under 35 U.S.C. 371 and Notification of a Defective Oath or Declaration;
- 2) Copies of Form PTO-1390 and Check for the surcharge for furnishing the oath or declaration late;
- 3) Petition for Extension of Time;
- 4) Petition Fee of \$1,850.00 for the Petition for Extension of Time;
- 5) Petition Under 37 CFR 1.47(b); and
- 6) Petition Fee of \$130.00 for the Petition Under 37 CFR 1.47(b).

The Commissioner is authorized to charge any additional fees which may be due, or credit any overpayment to Deposit Account No. 11-0855.

Respectfully submitted,



Nora M. Tocups

Reg. No. 35,717

KILPATRICK STOCKTON LLP
1100 Peachtree Street, Suite 2800
Atlanta, Georgia 30309-4530
404.815.6500

Attorney Docket No.: P0129/170179

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

129/170179

APPLICATION NO. (If known, see 37 CFR 1.6)

INTERNATIONAL APPLICATION NO.
PCT/US97/20986INTERNATIONAL FILING DATE
17 November 1997 (17.11.97)PRIORITY DATE CLAIMED
18 November 1996 (18.11.96)

TITLE OF INVENTION

SYSTEM FOR INTEGRATED MANAGEMENT OF MESSAGING AND COMMUNICATIONS

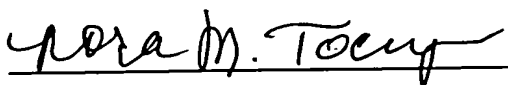
APPLICANT(S) FOR DO/EO/US

Gregg S. Freishtat, James K. Leites, Michael J. Cowden, and David Gregory Smith

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 37 (b) and PCT Articles 22 and 39(1).
 4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36
- ITEMS 11. TO 16. BELOW CONCERN DOCUMENTS(S) OR INFORMATION INCLUDED**

11. ☐ An Information Disclosure Statement under 37 CFR 1.197 and 1.98
12. ☐ Executed assignment document for recording. Separate cover sheet, in compliance with 37 CFR 3.28 and 3.31, are included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☒ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 1. Certificate of Express Mail, with Express Mail No. EL228362067US
Date of Deposit: 18 May 1999 (18.05.99)
 2. Postcard

U.S. APPLICATION NO. (if known, see 37 CFR 1.51)		INTERNATIONAL APPLICATION NO. PCT/US97/20986		ATTORNEY'S DOCKET NUMBER 129/170179	
17. <input checked="" type="checkbox"/> The following fees are submitted. BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO \$760.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00				CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 96.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	83 - 20 =	63	X \$18.00	\$1134.00	
Independent claims	5 - 3 =	2	X \$78.00	\$ 156.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1516.00	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28)				\$ 758.00	
SUBTOTAL =				\$ 758.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				\$	
TOTAL FEES ENCLOSED =				\$ 758.00	
				Amount to be refunded:	\$
				charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$758.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 11-0855 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any deficiencies in the enclosed fees which may be required, or credit any overpayment to Deposit Account No. 11-0855.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: John S. Pratt, Esq. KILPATRICK STOCKTON LLP 1100 Peachtree Street, Suite 2800 Atlanta, Georgia 30309-4530			 SIGNATURE Name: Nora M. Tocups Registration No. 35,717		